

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp
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SECRETARY OF THE SENATE
PUBLIC RECORDS

2018 AUG -7 AM 9:10

Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building**.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
- ☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Project on Nuclear Issues, Center for Strategic & International Studies

Travel date(s): July 9-July 12, 2018

Name of accompanying family member (if any): None

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$1,229.11 (economy class flight: \$1,131.70 and car rental: \$97.41)	\$357	\$60	None
<input checked="" type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): Project on Nuclear Issues conference at Los Alamos National Laboratory that consisted of 3 panel discussions, 2 keynote speakers, a visit to the Bradbury Science Museum, a tour of LANL facilities, a nuclear forensics exercise, and a tritium workshop (agenda attached).

8/6/2018
(Date)

ANNA L FINK

(Printed name of traveler)

[Signature]
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

8/6/2018
(Date)

[Signature]
(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

ETHIC JUN18'18PM 5:48

Name of Traveler: Anna L. FinkEmploying Office/Committee: Sen. DonnellyPrivate Sponsor(s) (list all): Project on Nuclear Issues, Center for Strategic and International StudiesTravel date(s): July 9-July 12, 2018*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*Destination(s): Los Alamos, New Mexico

Explain how this trip is specifically connected to the traveler's official or representational duties:

Dr. Anna L. Fink is a nuclear security working group fellow on Sen. Donnelly's defense team. In this capacity, she assists with defense and foreign policy issues. In particular, she focuses on issues related to the nuclear security enterprise. This trip to Los Alamos National Laboratory will be to attend a nuclear security conference, which will feature presentations on nuclear deterrence and countering proliferation, and a workshop on U.S. tritium production for nuclear weapons.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

6/18/18

(Date)



(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

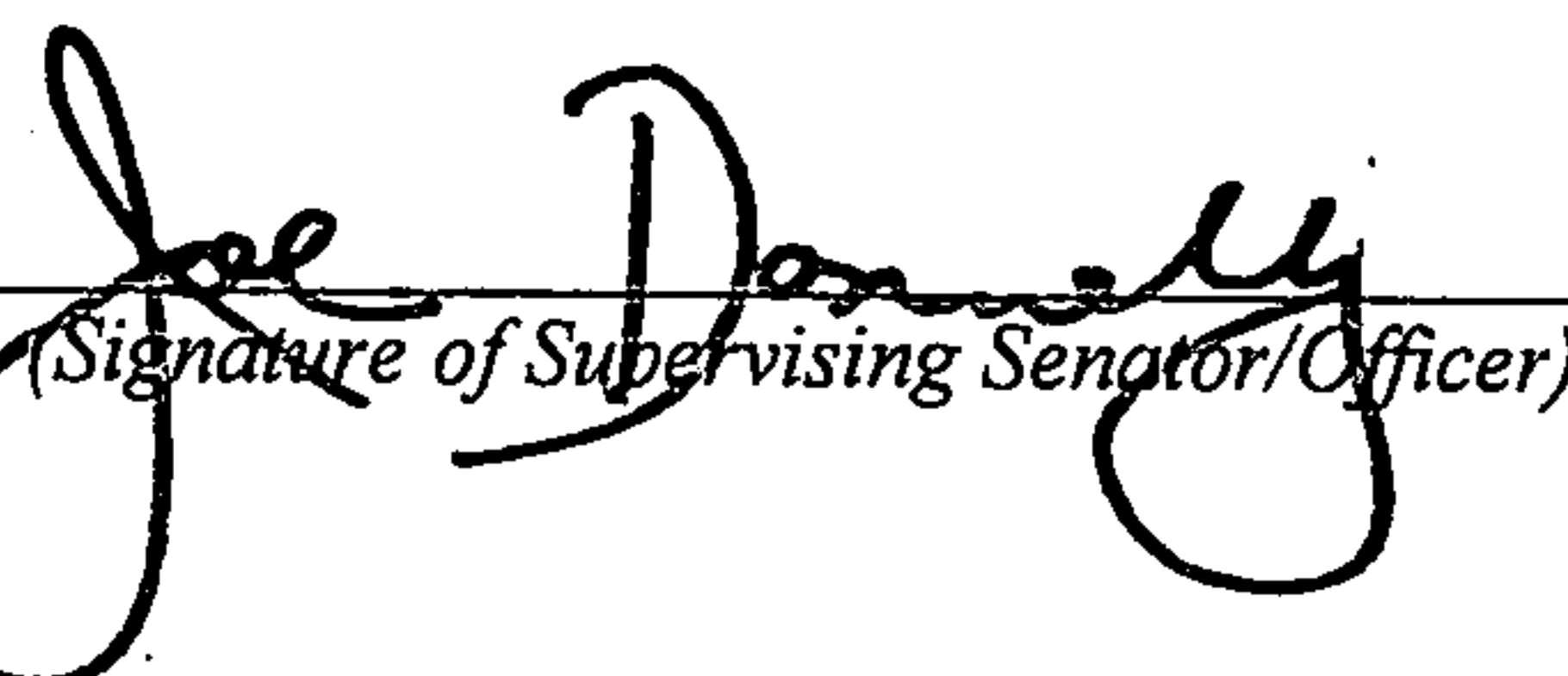
I, Joe Donnelly hereby authorize Anna Fink
 (Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

6/18/18

(Date)



(Signature of Supervising Senator/Officer)

NUCLEARNETWORK.CSIS.ORG

Dear Dr. Fink,

The LANL Deep Dive will take place on July 12, and will focus on tritium production. It is the first in a series of workshops that will bring together a diverse group of 20-30 participants for collaborative research and to develop recommendations to solve one key issue utilizing innovative and interdisciplinary approaches. Tritium is a vital component of US nuclear weapons, but current stocks of the decaying radioisotope (which has a 12-year half-life) are declining. Tritium has been acquired primarily via harvesting from retired weapons systems, but going forward, new tritium production will be required to meet demand. This workshop will explore the technical, policy and programmatic challenges to maintaining an adequate stockpile of tritium.

We would be honored to have you join us, and look forward to hearing from you.

Sincerely,

Bernadette Stadl

Bernadette Stadler
Program Coordinator and Research Assistant
Project on Nuclear Issues
Center for Strategic and International Studies

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Project on Nuclear Issues (PONI) -- Center for Strategic and International Studies (CSIS); Los Alamos National Laboratory(LANL) (cosponsoring workshop only)
2. Description of the trip: Attend CSIS PONI summer conference and participate in a workshop for defense professionals with presentations from nuclear experts on deterrence ... (see addendum)
3. Dates of travel: July 9, 2018 -- July 12, 2018
4. Place of travel: Santa Fe, New Mexico
5. Name and title of Senate invitees: Anna L. Fink, Congressional Fellow for Senator Donnelly
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
– OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
– AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
– AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

PONI is a program at CSIS. PONI is the sole sponsor of the conference. PONI and LANL are co-sponsors of the workshop. In this role, PONI and LANL jointly develop the workshop agenda and select participants.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

CSIS is a bipartisan, nonprofit policy research organization dedicated to providing strategic insights and policy solutions to help decision makers chart a course toward a better world. PONI is a program at CSIS. The core mission of PONI is to develop the next generation of policy, technical, and ... (see addendum)

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

CSIS has sponsored previous trips, but the PONI program has not. PONI is not aware of the details of trips sponsored by other CSIS programs.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

PONI is a program at CSIS. CSIS hosts numerous conferences and educational activities each year.

PONI holds four conferences each year – on military bases, national labs, at CSIS headquarters, and at US Strategic Command. Each conference features presentations on nuclear issues ... (see addendum)

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input type="checkbox"/> Good Faith estimate	Total: \$1229.11 Round trip airfare: \$1,131.70 Rental car: \$97.41	\$357	\$60	None
<input checked="" type="checkbox"/> Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

Trip is arranged without regard to congressional participation.

18. Reason for selecting the location of the event or trip

Santa Fe is the closest city to LANL. At least one PONI conference each year is hosted at a lab so that conference participants can interact with lab staff and see first hand the research that occurs there.

19. Name and location of hotel or other lodging facility:

Hilton Santa Fe Buffalo Thunder, 20 Buffalo Thunder Trail, Santa Fe, NM 87506

20. Reason(s) for selecting hotel or other lodging facility:

Proximity to LANL (conference and workshop location); ability to accommodate a large group; PONI was offered a competitive group rate.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging is \$26 more expensive per night than per diem rate for New Mexico. Meals and incidentals are \$20 less expensive per day than per diem rate for New Mexico.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Economy/coach class round trip airfare from Washington, DC to Albuquerque, New Mexico. Albuquerque is a 1 hr 40 min drive from Santa Fe. PONI will also pay for rental car expenses, ... (see addendum)

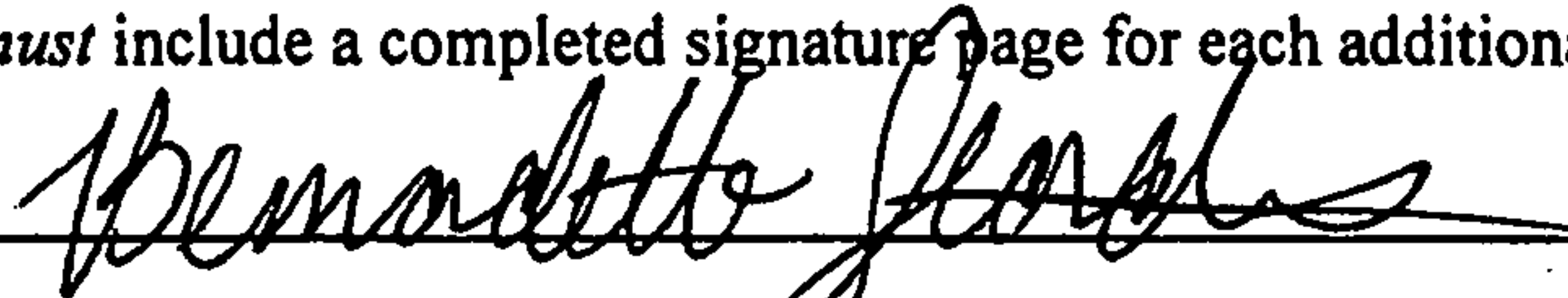
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:



Name and Title: Bernadette Stadler, Program Coordinator and Research Assistant

Name of Organization: Project on Nuclear Issues, Center for Strategic and International Studies

Address: 1616 Rhode Island Avenue NW, Washington, DC 20036

Telephone Number: 202-741-3926

Fax Number: 202-755-3199

E-mail Address: bstadler@csis.org

2018 PONI SUMMER CONFERENCE AND DEEP DIVE WORKSHOP ITINERARY LOS ALAMOS NATIONAL LAB

July 9-12, 2018

Conference Hotel:

*Hilton Santa Fe Resort & Spa at Buffalo Thunder
20 Buffalo Thunder Trail, Santa Fe, NM 87506*

Travel Day for Participants: Monday, July 9

10:09 am American flight 2278 departs Washington, DC (DCA)
12:55 pm American flight 2278 lands in Miami (MIA)
1:40 pm American flight 2395 departs Miami (MIA)
3:42 pm American flight 2395 lands in Dallas (DFW)
4:55 pm American flight 2273 departs Dallas (DFW)
5:38 pm American flight 2273 arrives in Albuquerque (ABQ)
6:00 pm Pick up rental car, drive to hotel (Hilton Santa Fe Resort & Spa)
7:00 pm Arrive at hotel

Day One (Conference Day): Tuesday, July 10

7:45 am Breakfast at Hotel
8:00 am Shuttle Departs from Hotel to Los Alamos
8:45 am Conference Check-in
9:00 am Conference Welcome
Rebecca Hersman, Director, Project on Nuclear Issues and Senior Adviser, International Security Program, Center for Strategic and International Studies
9:15 am Opening Remarks

Terry Wallace Jr., Director, Los Alamos National Laboratory, will welcome participants to the laboratory and speak about the research going on at the lab. He will also talk about the importance of involving young people in the nuclear enterprise.

9:30 am

Panel 1: New Theories for Controlling the Spread of Nuclear Weapons

Each presenter will give a 10-15 minute presentation before answering questions from the moderator and the audience. The presenter names, titles, affiliations and presentation titles are listed below along with the moderator name, title and affiliation.

Panel Moderator: Joseph F. Pilat, Program Manager, National Security Office, Los Alamos National Laboratory

Rethinking Arms Control: Shifting Focus from Strategic to Non-Strategic Challenges

Bryn Woollacott, Special Assistant, Nuclear and Missile Defense Policy, Office of the Secretary of Defense

An America First Arms Control

Jennifer Schofield, Nuclear Verification Scientist, Atomic Weapons Establishment, and M.A. Candidate, Arms Control and International Security, King's College London

Proliferation Revisited: The Role of Sectoral Elites in Domestic Nuclear Weapons

Programs Kate Hewitt, Herbert Scoville Jr. Peace Fellow, Arms Control and Nonproliferation Initiative

An Argument for Capability Retention in the Event of Multilateral Disarmament

Brogen Dawkins, Analytical Chemist, Atomic Weapons Establishment

11:15 am

Panel 2: Deterring Nuclear Attack at Home and Abroad

Each presenter will give a 10-15 minute presentation before answering questions from the moderator and the audience. The presenter names, titles, affiliations and presentation titles are listed below along with the moderator name, title and affiliation.

Panel Moderator: John Harvey, Former Principal Deputy Assistant Secretary of Defense, Nuclear Chemical and Biological Defense Programs

Perception, Assumptions, and Options in Deterrence Strategy and Response Policy

Kiera Welch, Scholar, Intelligence Community Center for Academic Excellence and University of Nebraska-Lincoln

Perfect Defense as Perfect (Extended) Deterrence? Asymmetric Threats and Alliance

Behavior Rafael Loss, Research Intern, Center for Global Security Research, Lawrence Livermore National Laboratory

NATO's Nuclear Posture After the Warsaw Summit

Anna Peczeli, Research Affiliate, Center for International Security and Cooperation, Stanford University

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Hostile Economics: Can the Modeling of Trade Wars Provide Insights into Nuclear Escalation Dynamics?

Samuel Baty, Graduate Student, Los Alamos National Laboratory

12:45 pm Lunch

1:15 pm Keynote address

Dr. Robert Soofer, Deputy Assistant Secretary of Defense, Nuclear and Missile Defense Policy, will speak about the political aspect of the policy-making process. He will focus on the strategies that his team used to include stakeholders and gain support for the 2018 Nuclear Posture Review.

3:10 pm Panel 3: Policy Solutions for Proliferation Challenges

Each presenter will give a 10-15 minute presentation before answering questions from the moderator and the audience. The presenter names, titles, affiliations and presentation titles are listed below along with the moderator name, title and affiliation.

Panel Moderator: Kory Sylvester, Director, Office of National Security and International Studies, Los Alamos National Laboratory

The Politics of Technical Safeguards Implementation: How Normative Policies Can Strengthen the Regime

Frederick Voute, Ph.D. Student, Erasmus University-Rotterdam

Kazakhstan: Nuclear Nonproliferation as a Means to Elevate International Status

Emily Turner, M.A. Candidate in Political Science and International Security, Utah State University

ISIS: The Emergent Nuclear Threat

Paige KW Gasser, Nuclear Safeguards Research Intern, Lawrence Livermore National Laboratory

Nuclear Security Centers of Excellence as a Strategy for Promoting Nuclear Nonproliferation and Security in Asia: Where do we go from here?

Jacob Stinnett, Postdoctoral Research Associate, Los Alamos National Laboratory

4:45 pm Depart Los Alamos National Lab
Shuttle service is provided to Bradbury Science Museum

5:15 pm Reception at Bradbury Science Museum
The Bradbury Science Museum, located in downtown Los Alamos, is a place for official visitors to learn about LANL's weapons programs.

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LANL owns and operates the Bradbury Science Museum, and often uses it as a venue for conferences hosted by private entities free of charge. All conference participants will be invited to the reception. Participants will not have to pay admission to the museum to attend the reception. The reception will be an opportunity for conference participants to network. Hor d'oeuvres will be provided.

6:30 pm End of Day One/Shuttle Returns to Hotel

Day Two (Conference Day): Wednesday, July 11

8:00 am Breakfast at Hotel

8:15 am Shuttle Departs from Hotel to Los Alamos

9:00 am Tour of LANL facilities engaging in research relating to the nuclear enterprise. Tour will be led by LANL representatives, and participants will be able to ask questions of LANL researchers.

12:00 pm Lunch

1:00 pm Keynote address
Dr. Chris Ford, Assistant Secretary of State, Bureau of International Security will speak about the parallel roles of arms control and deterrence for managing is strategic competition. His remarks will be followed by a Q&A session.

2:30 pm Nuclear Forensics Exercise

The Atomic Weapons Establishment (AWE)- developed nuclear forensics exercise will ask participants to make determinations about trade-off's between timing and costs when considering the origin of nuclear material, which will lead to careful consideration of the challenges of performing nuclear forensics in a crisis situation. The exercise will proceed as follows:

- Jennifer Schofield (Nuclear Verification Scientist, Atomic Weapons Establishment) and Brogan Dawkins (Analytical Chemist, Atomic Weapons Establishment) will conduct a 15-minute briefing on the science behind nuclear forensics and the considerations that experts who perform forensics must grapple with.
- Conference participants will split into teams and receive briefing materials that outline a nuclear incident, which must be traced back to the source. Each team is given a list of forensics methods and the relative time and costs of each method. Teams will debate which method they want to use, in order to balance the time and resource constraints with accuracy.
- Following this, each time will be given more resources and time, with an opportunity to more accurately determine the source of the nuclear material. This will occur three times total.



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|----------------|---|
| 4:30 pm | Closing Remarks
Rebecca Hersman, Director, Project on Nuclear Issues and Senior Adviser, International Security Program, Center for Strategic and International Studies, will reflect on the conference, highlighting some take-aways from the presentations, nuclear forensics exercise, and facility tours. |
| 4:45 pm | End of Conference, Shuttle Departs for Hotel |

7:15 am Shuttle Departs from Hotel to Los Alamos

8:00 am Arrival at Los Alamos

8:30 am	Opening remarks Rebecca Hersman, Director, Project on Nuclear Issues and Senior Adviser, International Security Program, Center for Strategic and International Studies
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8:45 am **Introduction to the Problem**
John Harvey, Former Principal Deputy Assistant Secretary of Defense, Nuclear
Chemical and Biological Defense Programs

Tritium 101

Carol Burns, Deputy Principal Associate Director, Science, Technology, and Engineering at Los Alamos National Laboratory

Putting it in context: U.S. Nonproliferation Policy and NPT commitments

Jennie Gromoll, Senior Advisor, Multilateral Nuclear and Security Affairs, U.S.
Department of State

9:45 am **Small group discussions**
Participants will be assigned to three groups of approximately 7 participants each to discuss the various approaches to maintain the tritium supply and provide a recommendation on the best path to move forward. They will be asked to consider the amount of time it would take to implement the solution, the cost, and the nonproliferation implications of the method they endorse.

Note: The purpose of this exercise is to educate participants about the issue, and is not to generate recommendations for actual use by the sponsor or participants

11:45 am**Presentations**

Each group will present for 5-10 minutes on their recommended path forward. The Senior Mentors will ask questions of each group before opening to a general Q&A.

12:15 pm**Lunch and Wrap-up**

Workshop Senior Mentors John Harvey, Former Principal Deputy Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs, and Jana Strasburg, Senior Research Scientist at Pacific Northwest National Laboratory

The speakers will give some general feedback on what they observed over the course of the day and discuss the bureaucratic and logistical challenges to implementation of the proposed solutions.

1:00 pm

Workshop ends, depart for Albuquerque

3:00 pm

Arrive at Albuquerque International Sunport (ABQ), return rental car

4:35 pm

Delta flight 1675 departs Albuquerque (ABQ)

9:45 pm

Delta 1675 arrives in Atlanta (ATL)

10:37 pm

Delta flight 1201 departs Atlanta (ATL)

12:25 am (next day) Delta flight 1201 arrives in Washington, DC (IAD)